

## INDICATIVE AUDIT COMMITTEE WORKPLAN 2014/15

**NOTE :-** Proposed **substantive** items to be presented and discussed have been put in **bold**.  
Proposed *information* items that will not be presented are in *italics*  
Standing 'business' items are in normal font

\* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
2	Wednesday 16th July 2014	<ul style="list-style-type: none"> <li>• <b>Internal Audit Quarterly Report 2014/15 (Qu.1)</b></li> <li>• External Audit – Protecting the Public Purse</li> <li>• External Audit – Digital Region Ltd Review</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<ul style="list-style-type: none"> <li>• <b>Draft Statement of Accounts 2013/14</b></li> <li>• <b>Corporate Finance Summary 2013/14</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Draft Annual Governance Statement 2013/14</b></li> <li>• <b>Audit Committee Annual Report 2013/14</b></li> <li>• <b>Audit Committee Terms of Reference and Self-Assessment</b></li> <li>• <b>Audit Committee workplan 2014/15</b></li> </ul>
3	Friday 19th September 2014	<ul style="list-style-type: none"> <li>• <b>External Audit – Report to those charged with governance (ISA 260) 2013/14</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<ul style="list-style-type: none"> <li>• <b>Treasury Management Annual Report 2013/14</b></li> <li>• <i>Corporate Finance and Performance Management &amp; Capital Programme Update @ June</i></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Annual Governance Statement 2013/14 and Review of Action Plan</b></li> <li>• <b>Audit Committee workplan 2014/15</b></li> </ul>
4	Wednesday 5th November 2014	<ul style="list-style-type: none"> <li>• <b>Internal Audit Quarterly Report 2014/15 (Qu.2)</b></li> <li>• <b>External Audit - Annual Audit Letter 2013/14</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Strategic Risk Register Review</b></li> </ul>	<ul style="list-style-type: none"> <li>• <i>Corporate Finance and Performance Management &amp; Capital Programme Update @ Sept</i></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Prosecutions Policy Review</b></li> <li>• <b>RIPA Update Report</b></li> <li>• <b>Audit Committee workplan 2014/15</b></li> </ul>
5	Wednesday 10th December 2014	<ul style="list-style-type: none"> <li>• <b>Review of the Effectiveness of Internal Audit – Action Plan Update</b></li> <li>• <b>Internal Audit Recommendations Implementation Update</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Risk Management Update Report</b></li> </ul>		<ul style="list-style-type: none"> <li>• <b>Annual Governance Statement 2013/14 Action Plan Update</b></li> <li>• <b>Review of Ombudsmen Complaints 2013/14</b></li> <li>• <b>Fraud Management Update Report</b></li> <li>• <b>Audit Committee workplan 2014/15</b></li> </ul>
6	Wednesday 21st January 2015	<ul style="list-style-type: none"> <li>• <b>Internal Audit Quarterly Report 2014/15 (Qu.3)</b></li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor</li> </ul>			<ul style="list-style-type: none"> <li>• <b>Annual Governance Review 2014/15</b></li> <li>• <b>Local Code of Corporate</b></li> </ul>

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
		Auditor (as required)			<b>Governance</b> <ul style="list-style-type: none"> <li>• Review of the Corporate Whistleblowing Policy</li> <li>• Audit Committee workplan 2014/15</li> </ul>
7	Wednesday 25th March 2015	<ul style="list-style-type: none"> <li>• Internal Audit Charter and Strategy</li> <li>• Internal Audit Plan 2015/16</li> <li>• External Audit – Claims and Returns Annual Report 2013/14</li> <li>• External Audit – 2014/15 Audit - Audit Plan</li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>• Strategic Risk Register Review</li> </ul>	<ul style="list-style-type: none"> <li>• Treasury Management Policy &amp; Strategy Statements 2015/16</li> <li>• 2015/16 Budget Proposal Section 25 Report</li> <li>• Corporate Finance and Performance Management &amp; Capital Programme Update @ Dec</li> </ul>	<ul style="list-style-type: none"> <li>• Information Governance Update Report</li> <li>• Audit Committee workplan 2014/15</li> </ul>
8	Wednesday 22nd April 2015	<ul style="list-style-type: none"> <li>• Internal Audit Quarterly Report 2014/15 (Qu4)</li> <li>• External Audit – Progress Report &amp; Technical Update</li> <li>• Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>• Risk Management Policy &amp; Strategy</li> </ul>		<ul style="list-style-type: none"> <li>• Corporate Whistleblowing Policy Update and Annual Report</li> <li>• Audit Committee Review of Terms of Reference and Self-Assessment</li> <li>• Audit Committee – Training Review and Skills Self-Assessment</li> <li>• Audit Committee workplan 2014/15</li> </ul>

**To Note**

- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.  
10th June 2015  
22nd July 2015  
18th September 2015 - Friday @ 2.00pm  
4th November 2015  
9th December 2015